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USE OF 1-HYDROXY-2-PYRIDONES  
FOR THE TREATMENT OF  
SEBORRHEIC DERMATITIS

DECLARATION OF JAMES LEYDEN, M. D.

I, James Leyden, M.D., do hereby declare that:

1. My B.A. in Biology is from St Joseph's College (1962) and I obtained my M.D. from the University of Pennsylvania in 1966. I did my residency at the University of Pennsylvania.
2. I am a practicing dermatologist and have been so since 1972. I have held the following positions: Assistant, Associate Professor and Professor of Dermatology at the University of Pennsylvania, School of Medicine. I am currently an Emeritus Professor of Dermatology at the University of Pennsylvania, School of Medicine.
3. Over the years, I have authored numerous articles and books on dermatology, including several on the subject of scaling disorders of the scalp including the etiology of these disorders. My professional achievements include positions on the editorial boards of the Journal of the American Academy of Dermatology, and Skin and Aging among others and Editor-in-Chief of Cutaneous Aging and Cosmetic Dermatology. A copy of my CV is attached hereto as Exhibit A.

4. In my practice, I have treated numerous patients suffering from seborrhea and others suffering from seborrheic dermatitis. All of the following has been known to dermatologists since at least 1997.
5. Seborrhea is a condition of the sebaceous glands characterized by the excessive production of sebum by the sebaceous glands which, when it reaches the skin surface, makes the skin appear oily or shiny and feel greasy. Seborrhea does not involve the skin's keratinocytes, and does not cause seborrheic dermatitis.
6. Seborrheic dermatitis is not a condition of the sebaceous glands. See Fitzpatrick's Dermatology in General Medicine, 6<sup>th</sup> ed., p. 1198 (attached hereto as Exhibit B). It is a chronic papulosquamous dermatosis (see Ex. B, p. 1198), and a disorder characterized by the hyperproliferation of keratinocytes in the skin. It is characterized by erythema (redness of the skin), scaling and yellow crusted patches. See Ex. B, p. 1198-1199. The origin of the name, seborrheic dermatitis, is that the disorder is most prevalent in areas where there are high densities of sebaceous glands (e.g. face and ears), not because sebaceous glands, sebum or seborrhea are related to the disorder. Essentially, in seborrheic dermatitis, the epidermal keratinocytes multiply too quickly, causing scaling and other symptoms. The sebaceous glands are not involved in seborrheic dermatitis and excess sebum production is not a diagnostic feature of seborrheic dermatitis.
7. Seborrhea is not a subset of seborrheic dermatitis, nor is seborrheic dermatitis a subset of seborrhea. Seborrhea and seborrheic dermatitis are different disorders and involve different cells: the sebaceous glands (seborrhea) and the keratinocytes (seborrheic dermatitis).

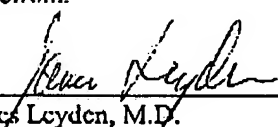
8. It is well-known among dermatologists that not every seborrhea patient has seborrheic dermatitis. Conversely, it is well-known among dermatologists that not every seborrheic dermatitis patient has seborrhea. From my dermatology practice and years as a teacher and researcher in this field, it is apparent that seborrheic dermatitis is very common in older patients, most of whom do not have seborrhea. This would be known to any dermatologist. Fitzpatrick concurs stating, "an increased sebum production cannot always be detected in [seborrheic dermatitis] patients," and "seborrheic dermatitis is not a disease of the sebaceous glands." *See* Ex. B, p. 1198. Other treatises reflect this view.
9. U.S. Patent No. 4,172,149 (filed in 1978, and attached hereto as Exhibit C), states that seborrhea (or excessive sebum) is "one component of the pathology [of seborrheic dermatitis]." This is wrong. It does not reflect the understanding of practitioners in this field.
10. U.S. Patent No. 6,120,756 states that seborrheic dermatitis "as used herein is defined as chronic inflammatory disease of the skin associated with excessive sebum production," (Col. 6, Lines 30-32, attached hereto as Exhibit D.) While this patent may so define this term for its own purposes, that doesn't reflect the understanding of the art, i.e., it is wrong. *See* Ex. B. Seborrheic dermatitis is a chronic inflammatory disease of the keratinocytes but it is not associated with excessive sebum production. *See* Ex. B, p. 1198-1199. Many, if not most, patients with seborrheic dermatitis do not have excessive sebum production. In fact, there is no evidence that seborrheic dermatitis is associated with either increased or decreased sebum production.

11. Because seborrhea and seborrheic dermatitis are totally different disorders, a dermatologist would not normally use an anti-seborrheic agent (that is, an agent used to treat seborrhea) to treat seborrheic dermatitis. This is especially true because dermatologists often see seborrheic dermatitis in patients who don't have seborrhea, and therefore know that seborrhea is not a subset nor the same as seborrheic dermatitis and seborrheic dermatitis is not a subset of seborrhea. Put another way, a physician will not use a treatment for seborrhea in connection with a disorder, such as seborrheic dermatitis, which is known to be different in both cause and effect from seborrhea.

All statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application and any registration resulting therefrom.

Date:

1/4/06

  
James Leyden, M.D.

## ***CURRICULUM VITAE***

***James J. Leyden, M.D.***

### **Personal Data:**

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*Wife:* Claudette Schilling  
*Children:* Wendy and James

### **Education:**

1958-1962 A.B. Saint Joseph's College  
1962-1966 M.D. University of Pennsylvania School of Medicine

### **Postgraduate Training and Fellowship Appointments:**

1966-1967 Intern Temple University Medical School  
1967-1968 Resident in Dermatology, University of Pennsylvania  
1967-1968 United States Public Health Fellow  
1970-1972 Resident in Dermatology, University of Pennsylvania

### **Military Service:**

1968-1970 Chief of Dermatology, U.S. Army, Fort Devens

### **Editorial Positions:**

1985-1990 Editorial Board, Journal of the American Academy of Dermatology  
1987-1992 Editorial Board, Journal of Microbial Ecology in Health and Disease  
1988-1992 Editorial Board, Medicine Group  
1988-1992 Editor-in-Chief, Cutaneous Aging and Cosmetic Dermatology  
1993- Editorial Advisory Board, Skin & Aging

**Committees:**

1993-1997     American Academy of Dermatology, Board of Directors  
1989-2001     Dermatology Foundation, Chairman, Board of Trustees  
1987-           Executive Committee, Dermatology Foundation  
1988-1989     Vice President, Dermatology Foundation  
                 American Academy of Dermatology Infectious Disease Committee Chairman  
                 American Academy of Dermatology Health Industry Liaison Committee, Chairman  
                 American Academy of Dermatology Task Force On Steroid Anti-infection Agents,  
                 Vice Chairman  
                 American Academy of Dermatology Government Liaison Committee  
                 American Academy of Dermatology Therapeutics Committee  
                 Toxicology Committee, National Academy of Sciences  
                 Consultant to U.S.A. FDA and FTC  
                 Consultant to Health Protection Branch  
                 Canada Consultant to Drug Regulation Agencies of England, Germany, and Austria  
1988-2002     Admissions Committee, School of Medicine, Medical Audit Committee, Hospital of the  
                 University of Pennsylvania Utilization Review Committee, Hospital of the University of  
                 Pennsylvania  
2003-           Sub-committee on Acne Management, American Academy of Pediatrics

**Faculty Appointments:**

1972-77        Assistant Professor of Dermatology, University of Pennsylvania School of Medicine  
1972-87        Chief of Dermatology Clinic, Hospital of the University of Pennsylvania  
1977-83        Associate Professor of Dermatology, University of Pennsylvania School of Medicine  
1979-           Affiliated Senior Scientist, Monell Chemical Senses Center  
1983-           Professor of Dermatology, University of Pennsylvania School of Medicine  
2002           Professor Emeritus, University of Pennsylvania School of Medicine  
2002           Adjunct Professor of Dermatology, Northwestern University School of Medicine

**Specialty Certification:**

1973           American Board of Dermatology

**Licensure:**     Pennsylvania

**Awards, Honors, and Membership in Honorary Societies:**

1962           Who's Who of American Colleges  
1966           Alpha Omega Alpha (Honorary Medical Society)  
1971           Henry W. Stelwagon Award American Academy of Dermatology  
1972           North American Dermatological Association Award  
1976           Bronze Award for Original Investigation American Academy of Dermatology  
1985           Gold Award for Original Investigation American Academy of Dermatology  
1986           Bronze Award for Original Investigation American Academy of Dermatology  
1986           Silver Award, Teaching Value American Academy of Dermatology  
1997           Gold Award for Original Investigation American Academy of Dermatology  
2003           Honorary Member, Society of Investigative Dermatology

### **Memberships in Professional and Scientific Societies:**

Society of Investigative Dermatology  
American Academy of Dermatology  
Infectious Control and Hospital Epidemiology  
Philadelphia Dermatologic Society  
Philadelphia College of Physicians  
American Society of Microbiology  
Society of Pediatric Dermatology

### **Chapters, Reviews & Books**

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5. Kligman, A.M., and Leyden, J.J.: The Interaction of Fungi and Bacteria in the Pathogenesis of Athlete's Foot, in: Skin Microbiology: Relevance to Clinical Infection, Howard I. Maibach and Raza Aly (Eds.), published by Springer-Verlag, New York, NY, pp. 203-219, 1981.
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7. Leyden, J.J.: Topical antibiotics in the prophylaxis of experimental S. Aureus and S. Pygemes infections in humans in Skin Microbiology: Relevance to Clinical Infection: Howard I. Maibach and Raza Aly (Eds.); published by Springer-Verlag, New York, pages 269-274, 1981.
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9. Leyden, J.J., and Kligman, A.M.: Dandruff, in Safety and Efficacy of Topical Drugs and Cosmetics, Albert Kligman and James Leyden (Eds.), published by Grune and Stratton, New York, NY, pp. 182-288, 1982.
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11. Leyden, J.J. and Kligman, A.M.: Axillary Odor and Deodorant Testing, in Safety and efficacy of Topical Drugs and Cosmetics, Albert Kligman and James Leyden (Eds.), published by Grune and Stratton, New York, NY, pp. 269-274, 1982.
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13. Leyden, J.J.: Bacteriology of newborn skin, (in) Neonatal Skin, Howard I., Maibach, and E.K. Boisis (ed.), published by Dekker Publishing House, New York, NY, 1982.
14. Leyden, J.J., McGinley, K.J., and Kligman, A.M.: Studies on the effect of shampoos on scalp lipids and bacteria, (in) Principles of Cosmetics for the Dermatologist, Phillip Frost and Steven N. Horowitz (eds.), published by the C.V. Mosby Company, St. Louis, pp. 16-27, 1982.
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14. Benett, R.G., Leyden, J.J., and Decherd, J.W.: The heroin ulcer. New Addition to the differential diagnosis of ulcers of the penis. Arch Derm 107(1):121-122, 1973.
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21. Mills, O.H., Leyden, J.J., and Kligman, A.M.: Tretinoin treatment of steroid acne. Arch Derm 108(3):381-384, 1973.
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27. Berger, B.J., Rudolph, R.I., and Leyden, J.J.: Letter: Transient acantholytic dermatosis. Arch Derm June 109(6):913, 1974.
28. Decherd, J., Leyden, J.J., Holtapple, J.J.: Facial Pyoderma gangrenosum in preceding ulcerative colitis. Cutis 14:208-210, 1974.
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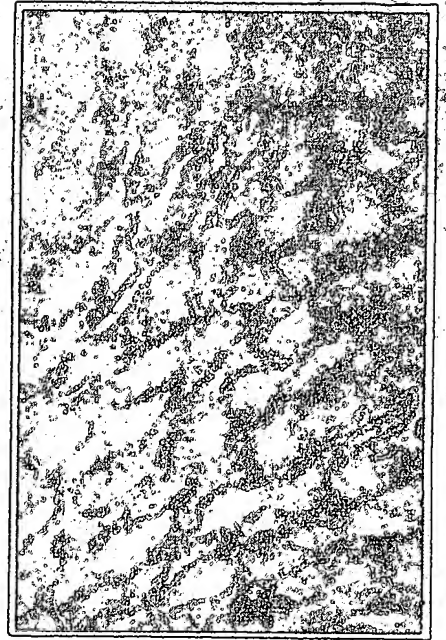
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## CHAPTER 124

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# Seborrheic Dermatitis

Seborrheic dermatitis is a common chronic papulosquamous dermatosis that is usually easily recognized. It affects infants and adults and is often associated with increased sebum production (seborrhea) of the scalp and the sebaceous follicle-rich areas of the face and trunk. The affected skin is pink, edematous, and covered with yellow-brown scales and crusts. The disease varies from mild to severe, including psoriasiform or pityriasiform patterns and erythroderma.<sup>1</sup> Seborrheic dermatitis is one of the most common skin manifestations in patients with human immunodeficiency virus (HIV) infection.<sup>2</sup> Consequently, it is included in the spectrum of premonitory lesions and should be carefully evaluated in high-risk patients.

### INCIDENCE

Seborrheic dermatitis has two age peaks, one in infancy within the first 3 months of life and the second around the fourth to the seventh decades of life. No data are available on the exact incidence of seborrheic dermatitis in infants, but the disorder is common. The disease in adults is believed to be more common than psoriasis, for example, affecting at least 3 to 5 percent of the population in the United States.<sup>3</sup> Men are affected more often than women in all age groups. There does not appear to be any racial predilection. Seborrheic dermatitis is found in up to 85 percent of patients with HIV infection.<sup>2</sup>

### ETIOLOGY AND PATHOGENESIS

Although many theories abound, the cause of seborrheic dermatitis remains unknown.

#### Seborrhea

The disease is associated with oily-looking skin (seborrhea oleosa), although an increased sebum production cannot always be detected in these patients.<sup>4</sup> Even if seborrhea does provide a predisposition, seborrheic dermatitis is not a disease of the sebaceous glands. The high incidence of seborrheic dermatitis in newborns parallels the size and activity of the sebaceous glands at this age. It has been shown that newborns have large sebaceous glands with high sebum secretion rates similar to adults.<sup>5</sup> In childhood, sebum production and seborrheic dermatitis are closely connected. In adulthood, however, they are not, as the sebaceous gland activity peaks in early puberty and decades later seborrheic dermatitis may occur.

The sites of predilection—face, ears, scalp, and upper part of the trunk—are particularly rich in sebaceous follicles. Two diseases are prevalent in these regions: seborrheic dermatitis and acne. In patients

with seborrheic dermatitis, the sebaceous glands are often particularly large on cross-sectional histologic specimens. In one study, skin surface lipids were not elevated but the lipid composition was characterized by an increased proportion of cholesterol, triglycerides, and paraffin, and a decrease in squalene, free fatty acids, and wax esters.<sup>6</sup> However, mild abnormalities in the skin surface lipids could well result from the ineffective keratinization, which is often demonstrable histopathologically. Seborrheic dermatitis seems to be more frequent in patients with parkinsonism, in whom sebum secretion is increased. Similarly, after reduction of sebum production induced by levodopa and by promestriene, seborrheic dermatitis may improve.

The synonym *eczéma flannelaire* stems from the idea that a retention of skin surface lipids by clothing and rubbing of the rough textiles on the skin—cotton (flannel), wool, or synthetic underwear in particular—promotes or aggravates seborrheic dermatitis.

#### Microbial Effects

Unna and Sabouraud, who were among the first to describe the disease, favored an etiology involving bacteria, yeasts, or both. This hypothesis has remained unsupported, although bacteria and yeast can be isolated in great quantities from affected skin sites.

In infancy, *Candida albicans* is often found in dermatitic skin lesions and in stool specimens. Although intracutaneous tests with candidin, positive agglutinating antibodies in serum, and positive lymphocyte-transformation tests in affected infants revealed sensitization to *C. albicans*, these observations cannot be convincingly linked to the pathogenesis.

Aerobic bacteria were recovered from the scalp of patients with seborrheic dermatitis (140,000 bacteria/cm<sup>2</sup> versus 280,000 in normal individuals and 250,000 in persons with dandruff). In contrast, *Staphylococcus aureus* was rarely seen in normal persons or those with dandruff. *Staphylococcus* was recovered in about 20 percent of patients with seborrheic dermatitis, accounting for an average of about 32 percent of the total skin flora.<sup>7</sup>

*Propionibacterium acnes* counts were low in patients with seborrheic dermatitis (7550 bacteria/cm<sup>2</sup> in those without dandruff). The small quantities of *P. acnes* in patients with seborrheic dermatitis may explain the low yield of free fatty acids from their skin surfaces.

The lipophilic yeast *Pityrosporum* is abundant in normal skin (504,000 organisms/cm<sup>2</sup> versus 922,000 in individuals with dandruff and 665,000 in patients with seborrheic dermatitis).<sup>7</sup> This organism has received particular attention in recent years. Some authors claim strong evidence in favor of a pathogenic role for these microbes, whereas others do not share this view. Their argument is that *Pityrosporum ovale* is not the causative organism, but is merely present in large numbers. In patients with pityriasis versicolor<sup>8</sup> and *Pityrosporum* folliculitis,<sup>9</sup> seborrheic dermatitis has been found in a higher percentage than expected. Clearing of seborrheic dermatitis by selenium sulfide and continued suppression of *P. ovale* with topical amphotericin B caused a



relapse of the disease on inflamed scalp skin.<sup>10</sup> In seborrheic dermatitis, both normal and high levels of serum antibodies against *P. ovale* have been demonstrated. A cell-mediated immune response to *P. ovale* has been found in normal individuals using *Pityrosporum* extracts in lymphocyte-transformation studies.<sup>11</sup> Overgrowth of *P. ovale* may lead to inflammation, either through introduction of yeast-derived metabolic products into the epidermis or as a result of the presence of yeast cells on the skin surface. The mechanism of production of inflammation would likely then be through Langerhans cell and T lymphocyte activation by *Pityrosporum* or its by-products. When *P. ovale* comes into contact with serum, it can activate complement via the direct and alternative pathways and this may play some part in the introduction of inflammation.<sup>12</sup> A possible role for this yeast in the pathogenesis of seborrheic dermatitis is supported by the fact that seborrheic dermatitis-like lesions have been shown to be reproducible in animal models by inoculation of *P. ovale*.<sup>13</sup>

### Miscellaneous

**DRUGS** Several drugs have been reported to produce seborrheic dermatitis-like lesions, including arsenic, gold, methyldopa, cimetidine, and neuroleptics.

**NEUROTRANSMITTER ABNORMALITIES** Seborrheic dermatitis is often associated with a variety of neurologic abnormalities, pointing to a possible influence of the nervous system. These neurologic conditions include postencephalitic parkinsonism, epilepsy, supraorbital injury, facial paralysis, unilateral injury to the ganglion of Gasser, polyomyelitis, syringomyelia, and quadriplegia. Emotional stress seems to aggravate the disease; a high rate of seborrhea is reported among combat troops in times of war.

**PHYSICAL FACTORS** It has been suggested that cutaneous blood flow and skin temperature may be responsible for the distribution of seborrheic dermatitis.<sup>14</sup> Seasonal variations in temperature and humidity are related to the course of the disease. Low fall and winter temperatures and low humidity in centrally heated rooms are known to worsen the condition. Seborrheic dermatitis of the face was observed in 8 percent of 27 patients receiving PUVA therapy for psoriasis and occurred within a few days to 2 weeks after the beginning of treatment;<sup>15</sup> the patients had no previous history of facial psoriasis or seborrheic dermatitis. Lesions were avoided by masking the face during irradiation.

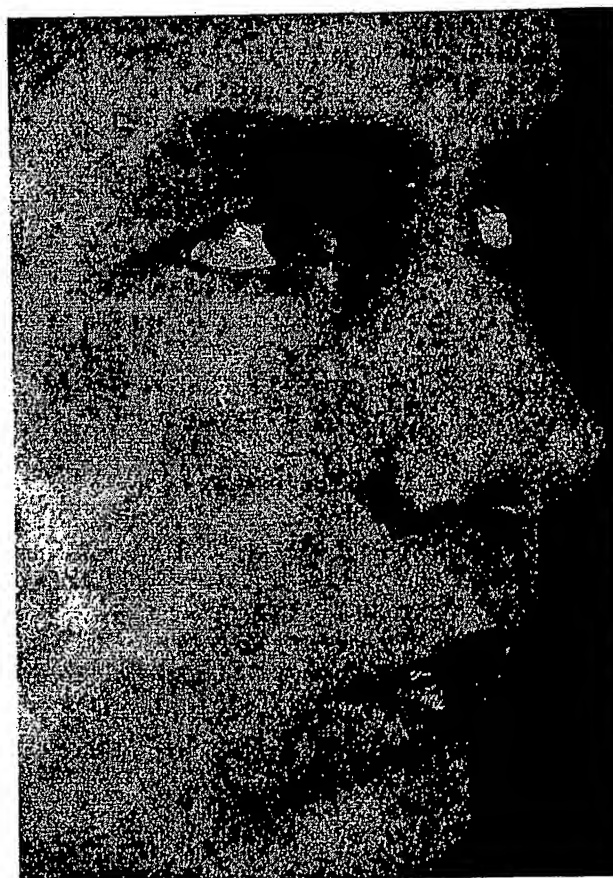
**ABERRANT EPIDERMAL PROLIFERATION** Epidermal proliferation is increased in seborrheic dermatitis, like psoriasis, explaining why cytostatic therapeutic modalities may improve the condition.<sup>16</sup>

**NUTRITIONAL DISORDERS** Zinc deficiency in patients with acrodermatitis enteropathica and acrodermatitis enteropathica-like conditions may be accompanied by dermatitis mimicking seborrheic dermatitis of the face. Seborrheic dermatitis is, however, not associated with zinc deficiency nor does it respond to supplementary zinc therapy. Seborrheic dermatitis in infancy may have a different pathogenesis. Biotin deficiency, whether secondary to a holocarboxylase deficiency or a biotinidase deficiency, and abnormal metabolism of essential fatty acids have been proposed as possible mechanisms.<sup>17</sup>

## IMMUNODEFICIENCY AND SEBORRHEIC DERMATITIS

The development of seborrheic dermatitis either de novo or as a flare of preexisting disease also may serve as a clue to the presence of HIV infection. The first report of this association in 1984 was followed by

FIGURE 124-1



Seborrheic dermatitis with involvement of nasolabial folds, cheeks, eyebrows, and nose.

observations from all parts of the world.<sup>2</sup> The expression of the disease differs in several aspects from its classical form seen in HIV seronegative individuals (Figs. 124-1 to 124-4): the distribution is extensive, severity is marked, and treatment often difficult (Fig. 124-5). Even the histopathologic changes differ somewhat from those seen in commonly encountered seborrheic dermatitis (Table 124-1).

The increased incidence and severity of seborrheic dermatitis in HIV seropositive individuals has led to speculation that unchecked growth of *Pityrosporum* in immunosuppressed patients is responsible. However, a study that compared quantitative *Pityrosporum* cultures in AIDS patients with and without seborrheic dermatitis failed to demonstrate increased yeast colonization in patients with seborrheic dermatitis.<sup>18</sup>

## PSORIASIS AND SEBORRHEIC DERMATITIS

In patients with a psoriatic diathesis, particularly adults, seborrheic dermatitis is said to evolve into psoriasis. The term *sebopsoriasis* is sometimes used for these overlapping conditions. It should be used with caution because psoriasis, especially of the scalp, is clinically and histopathologically almost indistinguishable from seborrheic dermatitis.

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